

USCG UNINSPECTED PASSENGER VESSEL SAFETY EXAMINATION BOOKLET GUIDELINES

This booklet is to be used to record a voluntary examination of an Uninspected Passenger Vessel. It provides a summary list of Coast Guard requirements to examiners and owners/operators of an Uninspected Passenger Vessel. This booklet should be used in conjunction with the regulations or other aids developed by the Coast Guard to assist in understanding of the regulations. This form must be used with the Requirements for Uninspected Passenger Vessels (LANT/PACAREAINST 16710.2).

Examiners should leave a (copy) of this form with the vessel when completed.

PRIVACY ACT STATEMENT VOLUNTARY DOCKSIDE EXAMINATIONS UNINSPECTED PASSENGER VESSELS

PRIVACY ACT STATEMENT: Required by Public law 93-579

AUTHORITY: 46 USC 4101, USC 4102, 46 USC 4103, 46 USC 4105, 46 USC 4106, 46 USC 6101, 46 USC 6102, 46 USC 6103, 46 USC 7101, 46 USC 7102, 46 USC and 14 USC 89.

PRINCIPAL PURPOSE (S): To document the Voluntary Dockside Examiner's report, enhance Passenger Vessel safety and promote public awareness and education. Information may be retained on file indefinitely.

ROUTINE USE (S): This information is to be used for uniform Coast Guard reporting and administration of voluntary Dockside Examination data. It will be used to record the number of vessels and level of compliance with Coast Guard regulations.

MANDATORY OR VOLUNTARY DISCLOSURE: Providing any information during the course of a voluntary dockside examination is voluntary. Failure to provide information necessary to ensure compliance with applicable regulation may prevent issuance of the safety decal.

Providing a vessel document/certificate of number by the operator of a vessel is mandatory. Failure to provide vessel documentation/registration will prevent issuance of the safety decal.

USCG UNINSPECTED PASSENGER VESSEL SAFETY EXAMINATION RESULTS
< 100 GT ≤ 6 Passenger for Hire

Vessel Name		Vessel Examination Location		Prior Decal <input type="checkbox"/> Yes <input type="checkbox"/> No	Photos <input type="checkbox"/> Bow <input type="checkbox"/> Stern
Registration/COD #		Hailing Port		HIN	
Expiration Date:					
Vessel Make, Model & Year Mfg.		Length	Gross Tons	Net Tons	Max POB
Fuel Type: <input type="checkbox"/> Gas <input type="checkbox"/> Diesel <input type="checkbox"/> Electric	Propulsion: <input type="checkbox"/> Inboard <input type="checkbox"/> Outboard <input type="checkbox"/> Sail <input type="checkbox"/> Rowed	Hull Structure: <input type="checkbox"/> Wood <input type="checkbox"/> Fiberglass <input type="checkbox"/> Steel <input type="checkbox"/> Aluminum	Horsepower: <input type="checkbox"/> Single _____ <input type="checkbox"/> Twin _____	Vessel Route & Endorsement <input type="checkbox"/> Local Area <input type="checkbox"/> Livery for Hire <input type="checkbox"/> Inland <input type="checkbox"/> Coastwise <input type="checkbox"/> Great Lakes <input type="checkbox"/> Near Coastal <input type="checkbox"/> Oceans	
Owner Name			Marine Employer		
Mailing Address, City, State, Zip Code			Mailing Address, City, State, Zip Code		
Telephone:			Telephone:		
Email Address:			Email Address:		
Name/Reference #/Expiration Date on Merchant Mariner Credential:					
<input type="checkbox"/> A voluntary UPV dockside examination has been completed. However as a result of the examination of this vessel, the following deficiencies were noted that prevented the issuance of the Uninspected Passenger Vessel Safety Decal: (note deficiency with an explanation and identify any Extremely Hazardous Conditions (EHC) : <hr/> <hr/> <hr/>					
When these deficiencies are corrected, please call to schedule a re-examination.					
Examiner Printed Name & ID #:			Telephone #:		
Examiner Signature:			Date:		
<p>Congratulations! Your vessel is in full compliance with all applicable UPV regulations. The issued decal is valid for ONE year provided that all regulatory and operating requirements remain current. Please keep this form on board and show it to the Coast Guard if the vessel is boarded.</p> <p align="center">DECAL EXPIRATION DATE: _____</p> <p><i>I CERTIFY that I have personally examined the vessel and find it meets the requirements of this report at the time of the examination.</i></p>					
Examiner Printed Name & ID:		Issuing Examiner Signature:		Telephone #	
<p>By accepting this UPV decal you are pledging to always maintain your vessel and equipment to the standard of safety qualified for during this examination. Remove this decal if the vessel is sold or the vessel no longer meets the requirements or no longer engages as an Uninspected Passenger Vessel.</p>					
Vessel Representative Signature:			Date:		
MISLE Entry Date:		Signature:			
LANT/PACAREAINST 16710.2		Original - USCG		Copy - Owner	Copy - Examiner
					Page 1 of 3

REQUIREMENTS FOR UNINSPECTED PASSENGER VESSEL

REQUIREMENTS	GUIDE PAGE	REGULATION	YES	NO	N/A
PERSONNEL LICENSING					
USCG License: Original on board and current for area of operations & tonnage	10	46 CFR § 15.401/.605/.905 & 46 CFR § 26.20-1	[]	[]	[]
Sufficient operators for two watches (Voyages > 12 hrs)	11	46 USC 8104 (b)	[]	[]	[]
DOCUMENTATION: VESSELS ≥ 5 NET TONS					
Current and original on board	12	46 CFR § 67.7/67.15/.313/.319	[]	[]	[]
Endorsed for Coastwise Trade	12	19 CFR § 4.80 & 46 CFR § 67.19/.163	[]	[]	[]
Official number correct size, permanently affixed and visible on interior structural part of hull	12	46 CFR § 67.121	[]	[]	[]
Display of name/Hailing port correct size and location	13	46 CFR § 67.117/.119/.123 (a)(b)(d)	[]	[]	[]
DOCUMENTATION: VESSELS < 5 NET TONS					
Valid State Numbering Certificate on board	13	33 CFR § 173.21/.23 & 174.19(a)	[]	[]	[]
State Numbers displayed correct size and location	13	33 CFR § 173.25/.27	[]	[]	[]
DRUG AND ALCOHOL TESTING IN EFFECT					
Marine Employer participating in chemical testing program	14	46 CFR § 16.113	[]	[]	[]
All crewmembers in random drug testing program	14	46 CFR § 16.230	[]	[]	[]
Aware of required action of failed chemical test	15	46 CFR § 16.201	[]	[]	[]
Alcohol Testing equipment on board for all crewmembers	15	46 CFR § 4.06-15	[]	[]	[]
Aware prohibition of vessel operations while intoxicated.	17	33 CFR § 95.015/.020 (b)(c)	[]	[]	[]
MARINE ACCIDENT AND CASUALTY REPORTING - OPERATOR AWARE OF REPORTING REQUIREMENTS					
State Numbered Vessels: Aware of reporting requirements	19	33 CFR § 173.51/.59 46 CFR § 4.01-1	[]	[]	[]
Documented Vessels: Aware of reporting requirements	19 & 20	46 CFR § 4.01-1/4.03-1/4.03-2/4.05-1 /44.05-10, /44-05-12	[]	[]	[]
COMMUNICATIONS					
VHF-FM Radio: ≥ 20 meters - Power driven vessels Radiotelephone VHF-FM required with ch. 22A and depending on location ch. 67. 2nd required in VTS area	21	33 CFR § 26.03 (a) (1) (e) (f)	[]	[]	[]
FCC Marine Radio Ship Station License: ≥ 20 meters - Valid license required for SSB/HF and/or International Voyage.	21	47 CFR § 80.13 & .25	[]	[]	[]
Radio Operator Permit: ≥ 20 meter Valid	21	47 CFR § 80.163	[]	[]	[]
CHARTS AND NAUTICAL PUBLICATIONS					
Current charts of operating area, Coast Pilot, Light List & Tide Tables - extracts or copies of transited area acceptable	22	46 CFR § 26.03-4	[]	[]	[]
Inland Navigation Rules: ≥ 20 meter - where required	23	33 CFR § 88.05	[]	[]	[]
NAVIGATION LIGHTS AND SOUND SIGNALS					
Proper and operational navigation lights	23	COLREGS Rule 23	[]	[]	[]
Operational sound producing device. ≥ 20 meters required bell Inland Waters	24	COLREGS Rule 33	[]	[]	[]

Vessel Name: _____

Date of Exam: _____

REQUIREMENTS FOR UNINSPECTED PASSENGER VESSEL (Continued)

REQUIREMENTS	GUIDE PAGE	REGULATION	YES	NO	N/A
GARBAGE POLLUTION PREVENTION					
Garbage Placard(s): Vessels ≥ 26 ft. posted in prominent locations	26 & 27	33 CFR § 151.59/151.66	[]	[]	[]
Waste Management Plan: on board for vessels ≥ 12 meters	28	33 CFR § 151.57	[]	[]	[]
LIFESAVING EQUIPMENT					
Personal Flotation Device: 1 approved Type I in servicable condition of appropriate size readily available for each person on board	29	46 CFR § 25.25-5 (c), § 25.25 -7 §25.25-9 (a), § 25.25-11, § 160.002-6	[]	[]	[]
Hybrid PFD may be substituted if worn	29	46 CFR § 25.25-5 (f) (1) (2) (3) 46 CFR § 160.077-1	[]	[]	[]
PFD's: Retro Reflection material and light as applicable	29	46 CFR § 25.25-13/-15	[]	[]	[]
Ring Buoy: Approved, Immediately available with grabline in serviceable condition. Applies to vessels ≥ 26 ft.	30	46 CFR § 25.25-5 (d)/-7/-9 (b), § 25.25-11, § 160.050	[]	[]	[]
Visual Distress Signals: number required, readily accessible, not expired.	31	33 CFR § 175.19/ § 175.110/.120/ § 175.125/.128/.130	[]	[]	[]
FIRE PREVENTION AND SUPPRESSION					
Fire Extinguisher(s): Sufficient number of servicable Type B USCG approved or UL listed for marine use. Current service date where applicable.	35	46 CFR § 25.30-5 (b), §25.30-10 (b), (1) (3) (4), § 25.30-20 (a) (1) & (b)	[]	[]	[]
Gas Engines: ventilation ducts, blower(s) and backfire flame control	37, 38 & 41	33 CFR § 183.601 (2) (d) (f)/183.610 46 CFR § 25.35 -1/.40-1	[]	[]	[]
Cooking, Heating and Lighting Systems: All secured and compliant with remote shut off valve on LPG or CN cooking systems	40	46 CFR § 25.45-1/-2	[]	[]	[]
SAFETY INSTRUCTIONS AND ORIENTATION					
Safety Orientation: Announcements are made prior to journey	43	46 CFR § 26.03-1 (a)	[]	[]	[]
Passenger List or Count: Conducted for each day of operation	43	46 USC 3502	[]	[]	[]
Emergency Instructions: Posted in prominent & accessible location	44	46 CFR § 26.03-1 (a)	[]	[]	[]
MARINE SANITATION DEVICES					
Certified Marine Sanitation Device correct for length Type 1 (only under 65ft.) , Type II or Type III	46	33 CFR § 159.7 (a)	[]	[]	[]
Acceptable method(s) of securing overboard discharge device	47	33 CFR § 159.7 (b) c)	[]	[]	[]
Identification placard attached on Type I or II	47	33 CFR § 159.55/.59	[]	[]	[]
OIL POLLUTION PREVENTION					
Oil Pollution Placard: applies to vessels ≥ 26 ft. 5" x 8" posted in machinery space and bilge control area.	48	33 CFR § 155.450	[]	[]	[]
Bilge Slops Retention: ability to contain oily water on board; oily-water separator.	49	33 CFR § 155.330/.350/.770	[]	[]	[]

Vessel Name: _____

Date of Exam: _____